

# Modern Cryptography

## Private Key Encryption Scheme

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# PRIVATE KEY ENCRYPTION-UPDATED DEFINITION

It is defined by three algorithms ( $\text{GEN}$ ,  $\text{ENC}$ ,  $\text{DEC}$ ), and a specification of a finite message space  $\mathcal{M}$ , with  $|\mathcal{M}| > 1$ , with the following properties:

- $\text{GEN}$ :  $k \leftarrow \text{GEN}()$ , a **probabilistic** algorithm that outputs a key  $k$ .  
 $\mathcal{K} = \{k \mid k \leftarrow \text{GEN}()\}$  is the key space.
- $\text{ENC}$ : A **probabilistic** algorithm  $\text{ENC}$ .  $c \leftarrow \text{ENC}(k, m)$  where  $k \in \mathcal{K}$  and  $m \in \mathcal{M}$ . We denote by  $\mathcal{C} = \{\text{ENC}_r(k, m) : k \in \mathcal{K}, m \in \mathcal{M} \text{ and } r \text{ is randomness of } \text{ENC}\}$
- $\text{DEC}$ : It is the decryption algorithm.  $m := \text{DEC}(k, c)$  where  $k \in \mathcal{K}$  and  $c \in \mathcal{C}$ .

Furthermore,  $\text{DEC}_k(\text{ENC}_k(m)) = m \forall m \in \mathcal{M}, \forall k \in \mathcal{K}$ .

# PRIVATE-KEY ENCRYPTION -MODIFIED DEFINITION

It is a tuple of PPT algorithms  $(\text{GEN}, \text{ENC}, \text{DEC})$ , such that

- ▶ The key-generation algorithm  $\text{GEN}$  takes input as  $1^n$  and outputs a key  $k$ ; we write  $k \rightarrow \text{GEN}(1^n)$ .  
(wlog assume  $|k| > n \ \forall k$ )
- ▶  $c \leftarrow \text{ENC}(k, m)$  where  $m \in \{0, 1\}^*$ .
- ▶ The decryption algorithm  $\text{DEC}$  takes as input a key  $k$  and a ciphertext  $c$ , and outputs a message  $m$  or an *error*.

It is required that for every  $n$ , for every key  $k$  output by  $\text{GEN}(1^n)$  and every  $m \in \{0, 1\}^*$ , it holds that  $\text{DEC}(k, \text{ENC}(k, m)) = m$ .

- ▶ We denote by  $\mathbf{K}$  a random variable denoting the value of the key output by  $\text{GEN}$ , thus for any  $k \in \mathcal{K}$ ,  $\Pr[\mathbf{K} = k]$  denotes the probability that the key output by  $\text{GEN}$  is equal to  $k$ .
- ▶ Similarly  $\mathbf{M}$  and  $\mathbf{C}$  will be used to represent the random variable for message space and key space.
- ▶ Furthermore  $\mathbf{K}$  and  $\mathbf{M}$  are assumed to be independent.

## Example

Consider a Shift Cipher. We have  $\mathcal{K} = \{0, 1, 2, \dots, 25\}$  with  $\Pr[\mathbf{K} = k] = 1/26$  for each  $k \in \mathcal{K}$ . Assume that we are give the following distribution over  $\mathcal{M}$ .

$$\Pr[M = y] = 0.7 \text{ and } \Pr[M = n] = 0.3$$

What is the probability that the ciphertext is  $B$ ?

# PERFECT SECRECY

## Definition

An encryption scheme  $(\text{GEN}, \text{ENC}, \text{DEC})$  with message space  $\mathcal{M}$  is **perfectly secret** if for every probability distribution over  $\mathcal{M}$ , every message  $m \in \mathcal{M}$  and every ciphertext  $c \in \mathcal{C}$  for which  $\Pr[\mathbf{C} = c] > 0$ ;

$$\Pr[\mathbf{M} = m | \mathbf{C} = c] = \Pr[\mathbf{M} = m] \quad (1)$$

## EXERCISE:

An encryption scheme  $(\text{GEN}, \text{ENC}, \text{DEC})$  with message space  $\mathcal{M}$  is perfectly secret if and only if the following holds for every  $m, m' \in \mathcal{M}$ :

$$\Pr [\text{ENC}(m) = c] = \Pr [\text{ENC}(m') = c] , \quad (2)$$

where the probabilities are over choice of **key  $k$**  and **internal randomness** of  $\text{ENC}$ .

Note that,

- $\Pr [\text{ENC}(m) = c] = \Pr [\mathbf{C} = c \mid \mathbf{M} = m]$
- The Eq. 2 implies that  $\Pr [\mathbf{C} = c \mid \mathbf{M} = m]$  is independent of  $m$ .
- The set  $\{\Pr [\mathbf{C} = c \mid \mathbf{M} = m^*] : c \in \mathcal{C}\}$  is the distribution of cipher text when the message  $m^*$  is encrypted.

## SOLUTION:

**Eqn. 1  $\Leftarrow$  Eqn. 2**

- Let  $\Pr[\mathbf{C} = c] > 0$ , by the law of **total probability**

$$\begin{aligned}\Pr[\mathbf{C} = c] &= \sum_{m \in \mathcal{M}} \Pr[\mathbf{C} = c \mid \mathbf{M} = m] \cdot \Pr[\mathbf{M} = m] \\ &= \sum_{m \in \mathcal{M}} \Pr[\text{ENC}(m) = c] \cdot \Pr[\mathbf{M} = m] \\ &= \Pr[\text{ENC}(m) = c] \sum_{m \in \mathcal{M}} \Pr[\mathbf{M} = m].\end{aligned}$$

*(Note: In the original image, the sum is red and an arrow points from the '1' in the sum to the '1' in the final result, indicating the sum of probabilities over the entire sample space is 1.)*

Hence,  $\Pr[\mathbf{M} = m \mid \mathbf{C} = c] = \Pr[\mathbf{M} = m]$ . (By Bayes' Rule)



## Eqn. 1 $\implies$ Eqn. 2

We will prove the contrapositive.  $\neg \text{Eqn. 2} \implies \neg \text{Eqn. 1}$ .

- Let  $q = \Pr[\mathbf{C} = c \mid \mathbf{M} = m]$  and  $q' = \Pr[\mathbf{C} = c \mid \mathbf{M} = m']$ .  
WLOG, we can assume  $q > q'$ .
- Consider a distribution on  $\mathcal{M}$  with support  $\{m, m'\}$ . Let  $\Pr[\mathbf{M} = m] = p$ ,  $\Pr[\mathbf{M} = m'] = 1 - p$ .
- $\Pr[\mathbf{C} = c] = q \cdot p + q' \cdot (1 - p)$ , hence  $q' < \Pr[\mathbf{C} = c] < q$ .
- $\Pr[\mathbf{M} = m \mid \mathbf{C} = c] = \left( \frac{q}{q \cdot p + q' \cdot (1 - p)} \right) \cdot p > p$ ; **a contradiction!**

# PERFECT INDISTINGUISHABILITY

Let  $\Pi = (\text{GEN}, \text{ENC}, \text{DEC})$  be an encryption scheme with message space  $\mathcal{M}$ . For an adv.  $\mathcal{A}$ , we define an experiment as follows:

$\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} :$

1.  $\mathcal{A}$  outputs a pair of messages  $m_0, m_1 \in \mathcal{M}$ .
2. A key  $k \leftarrow \text{GEN}()$  and  $b \xleftarrow{\$} \{0, 1\}$  are chosen. The challenge ciphertext  $c \rightarrow \text{ENC}_k(m_b)$  is given to  $\mathcal{A}$ .
3.  $\mathcal{A}$  outputs a bit  $b'$ .
4. The experiment returns  $b' \stackrel{?}{=} b$ .

If  $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} = 1$ , we say that the adv.  $\mathcal{A}$  succeeds.

# PERFECT INDISTINGUISHABILITY..

## Definition

An encryption scheme  $\Pi = (\text{GEN}, \text{ENC}, \text{DEC})$  with message space  $\mathcal{M}$  is **perfectly indistinguishable** if for every  $\mathcal{A}$  it holds that

$$\Pr [\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] = \frac{1}{2}$$

# HOMEWORK

**Exercise:** An encryption scheme  $\Pi$  is perfectly secret if and only if it is perfectly indistinguishable

# SOLUTION: $\text{PI} \implies \text{PS}$

We prove  $\neg \text{PS} \implies \neg \text{PI}$ .

- There exists  $m_0, m_1 \in \mathcal{M}$  and  $c \in \mathcal{C}$  such that

$$\underbrace{\Pr[\mathbf{C} = c \mid \mathbf{M} = m_0]}_{q_0} \neq \underbrace{\Pr[\mathbf{C} = c \mid \mathbf{M} = m_1]}_{q_1}.$$

- WLOG, we can assume  $q_0 > q_1$ . We construct an adversary  $\mathcal{A}$  for which,  $\Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}} = 1] > \frac{1}{2}$ .
  - $\mathcal{A}(c') = 0$  if  $c' = c$ ; 1 otherwise.

$$\begin{aligned} \Pr[b' = b] &= \frac{1}{2} \Pr[b' = 0 \mid b = 0] + \frac{1}{2} \Pr[b' = 1 \mid b = 1] \\ &= \frac{1}{2} q_0 + \frac{1}{2} (1 - q_1) = \frac{1}{2} + \frac{1}{2} (q_0 - q_1) > \frac{1}{2} \end{aligned}$$

## SOLUTION: PS $\implies$ PI

- ▶  $\mathcal{A}$ 's behavior  $b' := \mathcal{A}(c)$  depends only on  $c$  and not on  $b$  as the distribution of the input  $c$  remains the same irrespective of  $b = 0$  or  $b = 1$ . (Def. of Perfect Secrecy)
- ▶ Let  $\Pr[b' = 1 \mid b = 0] = \Pr[b' = 1 \mid b = 1] = p$  (say) .

- ▶ 
$$\begin{aligned}\Pr[b' = b] &= \frac{1}{2} \Pr[b' = 0 \mid b = 0] + \frac{1}{2} \Pr[b' = 1 \mid b = 1] \\ &= \frac{1}{2} (1 - p) + \frac{1}{2} p = \frac{1}{2}\end{aligned}$$

# VERNAM CIPHER (ONE TIME PAD)

## Definition

Fix an integer  $\ell > 0$ . The message space  $\mathcal{M}$ , key space  $\mathcal{K}$ , and ciphertext space  $\mathcal{C}$  are all equal to  $\{0, 1\}^\ell$ .

- ▶ GEN chooses the key  $k$  according to uniform distribution on  $\mathcal{K}$ .
- ▶ Given a key  $k \in \{0, 1\}^\ell$  and a message  $m \in \{0, 1\}^\ell$ ,

$$\text{ENC}_k(m) = m \oplus k$$

- ▶ Given a key  $k \in \{0, 1\}^\ell$  and a ciphertext  $c \in \{0, 1\}^\ell$ ,

$$\text{DEC}_k(c) = c \oplus k$$

Exercise: One-time pad encryption scheme is perfectly secret.

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**Exercise:** One-time pad encryption scheme is perfectly secret.



## Theorem

*One-time pad encryption scheme is perfectly secret.*

## Proof.

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## Theorem

*If  $(\text{GEN}, \text{ENC}, \text{DEC})$  is a perfectly secret encryption scheme with message space  $\mathcal{M}$  and key space  $\mathcal{K}$ , then  $|\mathcal{K}| \geq |\mathcal{M}|$ .*

## Proof.

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